



IPW

Attorney Docket No. T9918

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: GARY SOHNGEN et al.)
)
TITLE: INTRAMEDULLARY NAIL)
FOR LONG BONE)
FRACTURES)
) CERTIFICATE UNDER 37
SERIAL NO.: 10/624,463) C.F.R. § 3.73(b) TO
) ESTABLISH RIGHT OF
FILED: July 21, 2003) ASSIGNEE TO TAKE ACTION
)
EXAMINER: _____)
)
ART UNIT: _____)
)

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

To establish its right to take action before the Office in the above-captioned matter, Advanced Orthopaedic Solutions, Inc., certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

Certificate of Deposit Under 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the 14 day of May, 2005.

Karl R. Cannon
Karl R. Cannon
Attorney Registration No. 36,468
Attorney for Applicant

A. ☒ An assignment from the inventors of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

B. ☐ A chain of title from the ***[inventor(s)/applicant(s)]*** of the ***[patent/trademark application]*** identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

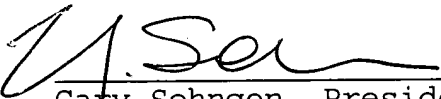
C. ☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

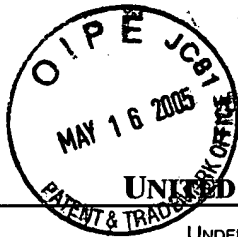
I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated this _____ day of _____, 2004.

ADVANCED ORTHOPAEDIC SOLUTIONS, INC.

By  11/2/04
Gary Sohngen, President

S:\CHC Files\T 9--\T99--\T9918\cert of assignee.frm



T9918

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JANUARY 18, 2005

PTAS



102796928A

KARL R. CANNON
P.O. BOX 1909
(T9918)
SANDY, UT 84091

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/19/2004

REEL/FRAME: 015578/0292
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

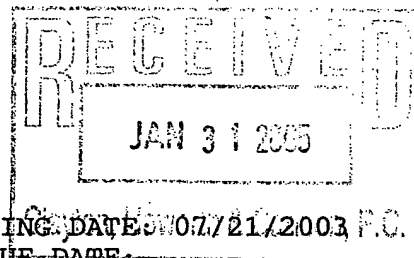
ASSIGNOR:
SOHNGEN, GARY

DOC DATE: 08/06/2003

ASSIGNOR:
HUBBARD, BARRY

DOC DATE: 07/16/2004

ASSIGNEE:
ADVANCED ORTHOPAEDIC SOLUTIONS,
INC
333 WEST 6TH STREET, SUITE 202
SAN PEDRO, CALIFORNIA 90731



SERIAL NUMBER: 10624463

FILING DATE: 07/21/2003 P.C.

PATENT NUMBER:

ISSUE DATE:

TITLE: INTRAMEDULLARY NAIL FOR LONG BONE FRACTURES

015578/0292 PAGE 2

KIMBERLY WHITE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



7/19/04

07-22-2004

Form PTO-1595 <small>Rev. 10/02</small>		RE		102796928	U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office						
OMB No. 0651-0027 (exp. 6/30/2005) Tab settings ⇔ ⇔ ⇔ ▽ ▽ ▽											
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.											
1. Name of conveying party(ies): Gary SOHNGEN Barry HUBBARD			2. Name and address of receiving party(ies) Name: <u>Advanced Orthopaedic Solutions, Inc</u> Internal Address: _____ _____ _____ Street Address: <u>333 West 6th Street, Suite 202</u> _____ _____ City: <u>San Pedro</u> State: <u>CA</u> Zip: <u>90731</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No								
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>07/16/04</u>											
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ A. Patent Application No.(s) _____ <u>10/624,463</u> B. Patent No.(s) _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No											
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Karl R. Cannon</u> Internal Address: <u>(T9918)</u> _____ _____ Street Address: <u>P.O. Box 1909</u> _____ _____ City: <u>Sandy</u> State: <u>UT</u> Zip: <u>84091</u>			6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41).....\$ <u>40.00</u> <input checked="" type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account 8. Deposit account number: <u>50-0836 (for deficiencies only)</u>								
DO NOT USE THIS SPACE											
9. Signature. <table border="0"><tr><td><u>Karl R. Cannon</u></td><td></td><td><u>July 16, 2004</u></td></tr><tr><td align="center">Name of Person Signing</td><td align="center">Signature</td><td align="center">Date</td></tr></table> Total number of pages including cover sheet, attachments, and documents: <u>6</u>						<u>Karl R. Cannon</u>		<u>July 16, 2004</u>	Name of Person Signing	Signature	Date
<u>Karl R. Cannon</u>		<u>July 16, 2004</u>									
Name of Person Signing	Signature	Date									

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

07/20/2004 TELETYPE 00000042 10624463 40.00 OP
04 FC:8021

A S S I G N M E N T

WHEREAS, Gary SOHNGEN and Barry HUBBARD, citizens of Great Britain and the United States of America, respectively, having post office addresses of 333 West 6th Street, Suite 202, San Pedro, California 90731, and 2888 East Little Cottonwood Road, Sandy, Utah 84092, respectively, have invented a method and apparatus entitled INTRAMEDULLARY NAIL FOR LONG BONE FRACTURES, hereinafter called the "invention," preferred embodiments of which are disclosed in a United States patent application executed on July 16, 2004 and being assigned application serial no. 10/624,463 and filed on July 21, 2003, which claimed the benefit under Section 119(e) of Title 35 of the United States code of the earlier filed application Serial No. 60/398,294, filed July 23, 2002, and identified as File No. T9918 of the law firm, Clayton, Howarth & Cannon, P.C., P.O. Box 1909, Sandy, Utah 84091 (I hereby authorize the attorneys of Clayton, Howarth & Cannon, P.C. to insert said serial number, filing date and execution date when known).

WHEREAS, Assignee, Advanced Orthopaedic Solutions, Inc., a California corporation, organized and existing under the laws of the State of California, located at 333 West 6th Street, Suite 202, San Pedro, California 90731, is desirous of acquiring the entire right, title and interest in the invention;

WHEN RECORDED RETURN TO:

Karl R. Cannon T9918
Clayton, Howarth & Cannon, P.C.
P.O. Box 1909
Sandy, Utah 84091

NOW THEREFORE, in consideration of one dollar (\$1.00) and other good and valuable consideration paid to us by the Assignee, the receipt and sufficiency of which is hereby acknowledged, WE HEREBY ASSIGN TO THE ASSIGNEE:

The entire right, title and interest in said invention and in the above-identified United States patent application and in all divisions, continuations and continuations-in-part of said application, or reissues or extensions of Letters Patent or Patents granted hereon, and in all corresponding applications filed in countries foreign to the United States, and in all patents issuing thereon in the United States and Foreign countries.

The right to file foreign patent applications on said invention in its own name, wherever such right may be legally exercised, including the right to claim the benefits of the International Convention for such applications.

We hereby authorize and request the United States Commissioner for Patents, and such Patent Office officials in foreign countries as are duly authorized by their patent laws to issue patents, to issue any and all patents on said invention to the Assignee as the owner of the entire interest, for the sole use and behoof of the said Assignee, its successors, assigns and legal representatives.

We hereby agree, without further consideration and without expense to us, to sign all lawful papers and to perform all other lawful acts which the Assignee, or the Assignee's successors, assigns and legal representatives, may request of us to make this

WHEN RECORDED RETURN TO:

Karl R. Cannon T9918
Clayton, Howarth & Cannon, P.C.
P.O. Box 1909
Sandy, Utah 84091

Assignment fully effective, including, by way of example but not of limitation, the following:

Prompt execution of all original, divisional, substitute, reissue, and other United States and foreign patent applications on said invention, and all lawful documents requested by the Assignee, or the Assignee's successors, assigns and legal representatives, to further the prosecution of any of such patent applications.

Cooperation to the best of our ability in the execution of all lawful documents, the production of evidence, nullification, reissue, extension, or infringement proceedings involving said invention.

WHEN RECORDED RETURN TO:

Karl R. Cannon T9918
Clayton, Howarth & Cannon, P.C.
P.O. Box 1909
Sandy, Utah 84091

This assignment and agreement shall be binding upon our heirs and legal representatives.

Executed this 6th day of AUGUST, 2003, at
SAN PEDRO, CA.
(City, State)

Gary Sohngen
Gary SOHNGEN

STATE OF California)
COUNTY OF Los Angeles) : ss.

On August 6, 2003, before me personally appeared Gary SOHNGEN, known to me to be the person described and who signed the foregoing Assignment in my presence and acknowledged under oath before me that he has read the same and knows the contents thereof and that he executed the same as his free act and deed and for the purposes set forth therein.

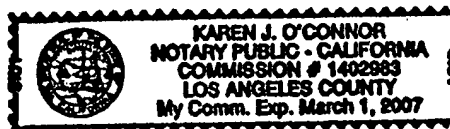
Karen J. O'Connor
NOTARY PUBLIC

My Commission Expires:

03-01-07

Residing at

San Pedro, CA



WHEN RECORDED RETURN TO:

Karl R. Cannon T9918
Clayton, Howarth & Cannon, P.C.
P.O. Box 1909
Sandy, Utah 84091

Executed this 16th day of July, 2004, at

Midvale, Utah
(City, State)

Barry HUBBARD

STATE OF UTAH)

COUNTY OF Salt Lake) : ss.

On July 16, 2004, before me personally appeared Barry HUBBARD, known to me to be the person described and who signed the foregoing Assignment in my presence and acknowledged under oath before me that he has read the same and knows the contents thereof and that he executed the same as his free act and deed and for the purposes set forth therein.

Tonya E. Tetzl
NOTARY PUBLIC

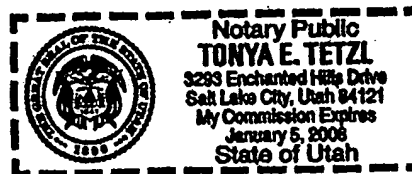
My Commission Expires:

1-5-08

Residing at

Sac, Utah

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WHEN RECORDED RETURN TO:

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